

IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF CALIFORNIA

MICHAEL PAUL WILLIAMS,	)	No. C 07-5574 MMC (PR)
	)	
Plaintiff,	)	<b>ORDER GRANTING</b>
	)	<b>DEFENDANTS' MOTION FOR</b>
v.	)	<b>SUMMARY JUDGMENT</b>
	)	
SCOTT KERNAN, et al.,	)	<b>(Docket No. 84)</b>
	)	
Defendants.	)	

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On November 1, 2007, plaintiff, a California prisoner incarcerated at Pelican Bay State Prison ("PBSP") and proceeding pro se, filed the above-titled civil rights action under 42 U.S.C. § 1983 against various PBSP employees, claiming deliberate indifference to his serious medical needs.<sup>1</sup> Specifically, plaintiff claims defendants improperly treated his chronic right knee injury.

Now before the Court is defendants' motion for summary judgment. Plaintiff has filed opposition and defendants have filed a reply.<sup>2</sup>

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<sup>1</sup> Plaintiff filed a verified complaint on November 1, 2007 ("Compl.") and an unverified amended complaint on June 23, 2008 ("FAC").

<sup>2</sup> Plaintiff has also filed a response to defendants' reply. (Dkt. No. 102.) Plaintiff did not obtain the Court's permission, as required by Civil Local Rule 7-3(d), to file such response. In any event, the response does not proffer any evidence and refers only to matters already in the record. Accordingly, the response is hereby STRICKEN.

## BACKGROUND

The following facts are drawn from the parties' evidence submitted in support of and in opposition to the motion for summary judgment, as well as from the exhibits to plaintiff's November 1, 2007 verified complaint. See Akhtar v. Mesa, 698 F.3d 1202, 1209 (9th Cir. 2012) (considering, on Rule 12(b) motion to dismiss claim of deliberate indifference, documents attached to pro se state prisoner's original complaint even though amended complaint had been filed). Unless otherwise noted, the facts are either undisputed or read in the light most favorable to plaintiff.

Plaintiff suffers from a chronic knee injury known as chondromalacia. (Decl. M. Sayre Supp. Mot. Summ. J. ("Sayre Decl.") Ex. A at PB 1132-33, 1149-50.) On July 20, 2004, plaintiff was sent to Sutter Coast Hospital in Crescent City, California for arthroscopic knee surgery, with partial meniscectomy, on his right knee. (Compl. Ex. A.) The surgery was performed by Dr. Mark Lau. (Id.) Following the surgery, plaintiff's knee condition improved. (Sayre Decl. Ex. A at PB 1744.) From August 2004 to November 2005, PBSP medical staff treated plaintiff for a variety of medical issues, including back pain, asthma, allergies, Hepatitis C, and pain to his right knee. (Sayre Decl. ¶ 7.) His treatments included pain medication, knee and back braces, ace bandages, a cane, ice, appointments with specialists, surgery referrals, physical therapy, and other advice pertaining to right knee pain. (Id.)

At some point subsequent to the surgery, plaintiff slipped in the shower, twisting his right knee. (Sayre Decl. Ex. A at PB 1744.) An MRI scan showed evidence of re-tearing of the medial meniscus. (Id.) In November 2005, plaintiff underwent a second arthroscopic knee surgery, with partial meniscectomy, on his right knee. (Sayre Decl. Ex. A at PB 1736-37.)<sup>3</sup> The procedure was performed by outside orthopedist Dr. Gregory Duncan. (Id.) Prior

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<sup>3</sup> Plaintiff claims he needed the second surgery "to correct the botched July 20th, 2004 surgery." (FAC at 7.) Plaintiff provides no evidence, however, to indicate there was a problem with the first surgery. Further, as discussed above, defendants submit evidence showing the first surgery improved plaintiff's knee condition. Finally, plaintiff admits in his opposition that he "did slip in a small shower which re-injured his knee." (Opp'n. at 7.)

1 to the procedure, Dr. Duncan advised plaintiff that, even if plaintiff underwent a second  
2 surgery, plaintiff would still suffer knee pain due to “articular cartilage injury, which  
3 [would] not respond reliably to arthroscopic treatment.” (Id. at PB 1745.)

4 Following the November 2005 surgery, plaintiff attended multiple follow-up  
5 appointments with Dr. Duncan and was treated extensively by PBSP medical staff. (Sayre  
6 Decl. ¶ 7, Ex. A at PB 1732-34; Decl. J. Flowers (“Flowers Decl.”) Ex. A.) Plaintiff’s  
7 subsequent complaints and treatment are summarized as follows:

8 April and May 2006: Between April 2, 2006 and May 24, 2006, plaintiff submitted  
9 seven Inmate Request for Interview (“IRI”) forms to PBSP medical staff, complaining about  
10 right knee pain and other medical issues and requesting an MRI on his right knee. (Compl.  
11 Exs. C-H.) PBSP medical staff responded to each request, informing plaintiff he was  
12 scheduled for a physical examination in the near future. (Id.) Staff also informed plaintiff he  
13 should submit a Health Services Request Form 7362 (“HSRF”), which is the proper form for  
14 requesting medical care. (Id.; Flowers Decl. ¶ 11.)

15 On May 31, 2006, plaintiff submitted an IRI requesting different pain medication.  
16 (Compl. Ex. I.) Defendant James Flowers, RN (“Nurse Flowers”) responded that there are  
17 no automatic renewals for pain medication and that plaintiff would need to submit an HSRF  
18 so that defendant Nurse Practitioner Sue Risenhoover (“N.P. Risenhoover”) could evaluate  
19 the request. (Id.)

20 June 2006: On June 2, 2006, Nurse Flowers examined plaintiff, informed plaintiff he  
21 was scheduled to see his primary care provider soon, and advised plaintiff as to care for his  
22 right knee in the interim. (Flowers Decl. ¶ 12; Compl. Ex. J; Sayre Decl. Ex. A at PB 1510.)

23 On June 14, 2006, plaintiff filed an Inmate Appeal Form 602, complaining of  
24 negligent medical care. (Compl. Ex. K.) Plaintiff asked to be given an MRI and to be given  
25 his “regular pain meds (indomecithin/parafon forte).” (Id.)

26 On June 19, 2006, N.P. Risenhoover treated plaintiff.<sup>4</sup> (Sayre Decl. ¶ 16, Ex A at PB  
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28 <sup>4</sup> N.P. Risenhoover is a primary care provider. (See Compl. Ex. L.)

1 1506, 1508.) Plaintiff requested he be taken off naprosyn, claiming it made him sick, and  
2 requested he be given parafon forte or indomecithin instead. (Id.) N.P. Risenhoover  
3 explained that parafon forte, a muscle relaxant, was not medically indicated; instead, she  
4 prescribed indomethacin. (Id.) N.P. Risenhoover developed a medical treatment plan for  
5 plaintiff's knee. (Id.) At plaintiff's requests, N.P. Risenhoover filled out and forwarded  
6 plaintiff's MRI request to the Utilization Management Committee/Medical Authorization  
7 Review ("UMC/MAR"). (Sayre Decl. Ex. A at PB 1710.)

8 On June 26, 2006, the UMC/MAR reviewed plaintiff's request for an MRI. (Sayre  
9 Decl. ¶ 17.) The UMC/MAR is an oversight committee that acts upon certain requests for  
10 medical treatment, such as an MRI. (Id. ¶ 4.) The UMC/MAR may approve an MRI if  
11 surgery is being considered. (Id. ¶ 17.) The committee determined an MRI was not  
12 medically indicated for plaintiff because he had just undergone knee surgery. (Sayre Decl.  
13 ¶ 17, Ex. A PB 1504.) The committee also noted that plaintiff's August 2005 x-rays showed  
14 a normal knee and that plaintiff had refused to take new x-rays. (Id.) The committee  
15 approved plaintiff for physical therapy and follow-up. (Id.)

16 On June 29, 2006, Nurse Flowers responded at the informal level to plaintiff's 602  
17 Inmate Appeal, informing plaintiff the UMC/MAR had reviewed his request for an MRI and  
18 had instead prescribed physical therapy. (Id.) Nurse Flowers also informed plaintiff he had  
19 been receiving indomecithin since June 21, 2006. (Id.)

20 July 2006: On July 5, 2006, plaintiff submitted an IRI, again requesting an MRI.  
21 (Compl. Ex. M.) Although plaintiff had been informed that HSRF was the proper form for  
22 requesting medical care, Nurse Flowers responded and informed plaintiff he would soon be  
23 scheduled for a follow-up. (Id.)

24 On July 24, 2006, N.P. Risenhoover treated plaintiff and explained that the  
25 UMC/MAR recommended physical therapy for his surgically repaired knee and that there  
26 was no medical indication for an MRI. (Sayre Decl. ¶ 20.) N.P. Risenhoover renewed  
27 plaintiff's pain medication. (Compl. Ex. O.) Plaintiff again requested an MRI, and N.P.  
28 Risenhoover again forwarded the request to the UMC/MAR. (Sayre Decl. ¶ 20, Ex. A at PB

1 1717.)

2 August 2006: On August 1, 2006, plaintiff submitted an HSRF, complaining of knee  
3 pain. That same day, Nurse Flowers responded, evaluated and advised plaintiff, and  
4 scheduled an appointment with N.P. Risenhoover. (Compl. Ex. P; Flowers Decl. ¶ 16.) On  
5 August 7, 2006, N.P. Risenhoover examined plaintiff and explained the UMC/MAR denial of  
6 his MRI request and its referral for physical therapy instead. (Sayre Decl. ¶ 22.) N.P.  
7 Risenhoover discussed plaintiff's medications and prescribed amitriptyline and a right knee  
8 sleeve. (Id.)<sup>5</sup>

9 On August 15 or 16, 2006, defendant Michael Sayre, Chief Medical Officer at PBSP  
10 ("Dr. Sayre"), issued a decision on plaintiff's 602 Inmate Appeal at the First Level of  
11 Review. (Compl. Ex. L at 4-5.) Dr. Sayre reviewed plaintiff's medical file, including the  
12 UMC/MAR's evaluation and N.P. Risenhoover's notes, and determined physical therapy and  
13 plaintiff's current pain medication were the proper treatment. (Id.; Sayre Decl. ¶ 23.)

14 September 2006: On September 20, 2006, defendants Joseph Kravitz, Health Project  
15 Coordinator ("Kravitz"), and Maureen McLean, Health Care Manager ("McLean"), issued a  
16 decision on plaintiff's 602 Inmate Appeal at the Second Level of Review. (Compl. Ex. L at 6-  
17 7.) Kravitz and McLean partially granted the appeal to allow physical therapy as  
18 recommended by the UMC/MAR, but denied an MRI and denied plaintiff's request for  
19 specific medication. (Id.) They noted plaintiff had stated on September 6, 2006 that his  
20 current medication was working well. (Id.) They further pointed out that follow-up visits  
21 would be scheduled on a regular basis and that physical therapy was scheduled in the near  
22 future, and determined plaintiff's treatment at the time was appropriate for his condition. (Id.)

23 October 2006: On October 1, 2006, plaintiff submitted an HSRF regarding knee pain  
24 and requesting an MRI. (Compl. Ex. Q; Flowers Decl. ¶ 18, Ex. A at PB 1490.) Nurse  
25 Flowers examined plaintiff the next day and referred him to his primary care provider. (Id.)

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28 <sup>5</sup> Plaintiff's knee sleeve appears to have been prescribed or renewed on August 14, 2006, at a subsequent appointment. (See Compl. Ex. L at 7.)

1 On October 11, 2006, plaintiff treated with Nurse Flowers and N.P. Risenhoover. (Flowers  
2 Decl. ¶ 19; Compl. Ex. S.) He was advised as to the proper care of his knee. (Id.) Plaintiff  
3 stated his knee was better after his second surgery but that he had again twisted it. (Sayre  
4 Decl. Ex. A at PB 1482.)

5 November 2006: On November 6, 2006, plaintiff submitted an HSRF, complaining of  
6 knee pain and other medical issues. (Flowers Decl. Ex. A at PB 1475.) Nurse Flowers  
7 responded that day and N.P. Risenhoover treated plaintiff that day. (Id.; Sayre Decl. Ex. A at  
8 PB 1472-73.) On August 8, 2006, N.P. Risenhoover prescribed pain medication, including  
9 acetaminophen, amitriptyline, and ibuprofen. (Id. at PB 1467.)

10 On November 28, 2006, defendant N. Grannis, Chief of Inmate Appeals (“Grannis”),  
11 issued a Director’s Level Review response to plaintiff’s 602 Inmate Appeal. (Compl. Ex. L at  
12 8-9.) He found plaintiff’s treatment plan and medication were appropriately determined by  
13 licensed physicians. (Id.)

14 December 2006: On December 3 and 11, 2006, plaintiff submitted HSRF’s,  
15 complaining of right knee pain and requesting an MRI. (Flowers Decl. ¶ 21, Ex. A at PB  
16 1466.) On December 4, 2006, Nurse Flowers examined plaintiff and referred him to N.P.  
17 Risenhoover. (Id.) On December 11, 2006, N.P. Risenhoover examined plaintiff, advised  
18 him as to proper care for his knee, assessed his medical history, and forwarded to the  
19 UMC/MAR a request for a referral for plaintiff to see Dr. Duncan and to receive an MRI.  
20 (Sayre Decl. Ex. A at PB 1198, 1710-11.)

21 On December 12, 2006, the UMC/MAR reviewed plaintiff’s case and determined an  
22 MRI was not medically indicated, noting plaintiff had not had any recent injury or acute  
23 trauma to the joint. (Sayre Decl. Ex. A at PB 1459.) On December 29, 2006, N.P.  
24 Risenhoover examined plaintiff, explained the UMC/MAR denial, and prescribed medication  
25 for various medical issues. (Sayre Decl. Ex. A at PB 1196.)

26 January 2007: On January 9, 2007, plaintiff submitted an HSRF regarding knee and  
27 back pain. (Flowers Decl. Ex. A at PB 1441-42.) On January 22, 2007, N.P. Risenhoover  
28 met with plaintiff. (Sayre Decl. Ex. A at PB 1431-32.) She scheduled a follow-up to discuss

1 his physical therapy, ensured his medications were current, and advised plaintiff to avoid  
 2 strenuous exercise. (Id.) N.P. Risenhoover also submitted a request on behalf of plaintiff for  
 3 a referral to Dr. Duncan for Epidural Steroid Injections (“ESI”) for plaintiff’s back pain. (Id.  
 4 Ex. A at PB 1708.) On January 29, 2007, the UMC/MAR approved referral to Dr. Duncan for  
 5 ESI. (Id. Ex. A at PB 1427.)

6 February and March 2007: On February 7, 2007, plaintiff was examined by Dr.  
 7 Duncan, who recommended an MRI of the right knee in addition to ESI. (Sayre Decl. ¶ 35,  
 8 Ex. A at PB 1333, 1706-07.) On February 21, 2007, plaintiff submitted an HSRF, requesting  
 9 an MRI based on Dr. Duncan’s recommendation. (Sayre Decl. ¶ 36, Ex. A at PB 1330.)  
 10 Nurse Flowers responded and scheduled a follow-up visit. (Id.) On March 2, 2007, N.P.  
 11 Risenhoover examined plaintiff and informed him the MRI request was not approved. (Sayre  
 12 Decl. ¶ 37, Ex. A at PB 1327, 1329, 1193.) N.P. Risenhoover scheduled plaintiff for follow-  
 13 up in 30 days. (Id.)

14 April 2007: On April 10, 2007, plaintiff wrote a letter directly to Dr. Sayre, requesting  
 15 an MRI. (Compl. Ex. V.) On April 13, 2007, Dr. Sayre responded and instructed plaintiff on  
 16 the proper method to request medical care. (Id.)

17 On April 16, 2007, plaintiff submitted an HSRF, complaining about ear pain. (Flowers  
 18 Decl. ¶ 24, Ex. A at PB 1312-13.) On April 17, 2007, Nurse Flowers and a primary care  
 19 provider treated plaintiff. (Id.)

20 On April 24, 2007, plaintiff submitted an HSRF, complaining of knee pain and  
 21 requesting an MRI. (Flowers Decl. ¶ 25, Ex. A at PB 1304-05.) On April 25, 2007, Nurse  
 22 Flowers treated plaintiff and scheduled an appointment for him. (Id.)

23 May 2007: On May 2, 2007, N.P. Risenhoover treated plaintiff. Plaintiff stated he was  
 24 satisfied with his pain medication but still wanted an MRI. (Sayre Decl. ¶ 41, Ex. A at PB  
 25 1189-90, 1295.) N.P. Risenhoover forwarded the request to the UMC/MAR. (Sayre Decl. ¶  
 26 41, Ex. A at PB 1690.) On May 7, 2007, the UMC/MAR discussed plaintiff’s complaints and  
 27 Dr. Duncan’s findings. (Sayre Decl. ¶ 42, Ex. A at PB 1293.) The committee notes state  
 28 “will do MRI only if [plaintiff] is interested in surgery on the knee.” (Id.)



1 On May 22, 2007, N.P. Risenhoover again examined plaintiff for knee and back pain.  
 2 (Sayre Decl. ¶ 43, Ex. A at PB 1286-87.) They discussed the completion of plaintiff's third  
 3 ESI on May 1, 2007, which plaintiff reported had made him feel better. (Id.) N.P.  
 4 Risenhoover also discussed with plaintiff the UMC/MAR recommendations of May 7, 2007.  
 5 (Id.) The notes from the examination describe plaintiff as stating, "I am interested in right  
 6 knee surgery if I need it on the right knee so I will get the MRI of my knee." (Id.) N.P.  
 7 Risenhoover forwarded plaintiff's request. (Id.)

8 On May 30, 2007, Dr. Duncan treated plaintiff, and Dr. Sayre approved a knee brace  
 9 and ace wrap for the duration of 30 days at Dr. Duncan's recommendation. (Sayre Decl. ¶ 44,  
 10 Ex. A at PB 1111.)

11 June 2007: On June 4, 2007, the UMC/MAR met and discussed plaintiff's knee  
 12 condition. (Sayre Decl. ¶ 45, Ex. A at PB 1280.) The notes from the meeting state that  
 13 plaintiff's knee was stable as confirmed by a recent orthopedic examination. (Id.) The  
 14 committee elected to deny an MRI and to continue to monitor plaintiff's gait and lower back  
 15 pain. (Id.)

16 On June 14, 2007, N.P. Risenhoover treated plaintiff and discussed with him that a  
 17 knee sleeve was no longer medically indicated. (Sayre Decl. ¶ 47, Ex. A at PB 1183.)<sup>6</sup> She  
 18 also discussed with plaintiff the UMC/MAR finding that an MRI was not medically indicated.  
 19 (Id.) N.P. Risenhoover advised plaintiff to continue home physical therapy and to avoid  
 20 strenuous exercise. (Id.) She renewed his medications. (Id.)

21 July 2007 - September 2007: On July 17, 2007, N.P. Risenhoover examined plaintiff.  
 22 (Sayre Decl. ¶ 48, Ex. A at PB 1179, 1182, 1252, 1255.) Plaintiff stated he had his pain  
 23 medication. (Id.) N.P. Risenhoover prescribed ice for plaintiff's lower back pain, and, the  
 24 same day, Dr. Sayre approved an ice pack. (Id.)

25 On September 4, 2007, N.P. Risenhoover met with plaintiff and prescribed  
 26 amitriptyline, tylenol, and ibuprofen for 90 days for plaintiff's knee pain. (Sayre Decl. ¶ 49,  
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28 <sup>6</sup> No party explains the distinction between a "knee brace" and a "knee sleeve."



1 Ex. A at PB 1177, 1235.)

2 Plaintiff filed this action on November 1, 2007.

### 3 DISCUSSION

#### 4 A. Legal Standard

5 Summary judgment is proper where the pleadings, discovery, and affidavits show there  
6 is “no genuine dispute as to any material fact and the movant is entitled to judgment as a  
7 matter of law.” See Fed. R. Civ. P. 56(a). Material facts are those that may affect the  
8 outcome of the case. See Anderson v. Liberty Lobby, Inc., 477 U.S. 242, 248 (1986). A  
9 dispute as to a material fact is genuine if the evidence is such that a reasonable jury could  
10 return a verdict for the nonmoving party. See id.

11 A court shall grant summary judgment “against a party who fails to make a showing  
12 sufficient to establish the existence of an element essential to that party’s case, and on which  
13 that party will bear the burden of proof at trial[,] . . . since a complete failure of proof  
14 concerning an essential element of the nonmoving party’s case necessarily renders all other  
15 facts immaterial.” See Celotex Corp. v. Catrett, 477 U.S. 317, 322-23 (1986). The moving  
16 party bears the initial burden of identifying those portions of the record that demonstrate the  
17 absence of a genuine issue of material fact. Id. The burden then shifts to the nonmoving  
18 party to “go beyond the pleadings and by [his] own affidavits, or by the ‘depositions, answers  
19 to interrogatories, and admissions on file,’ designate ‘specific facts showing that there is a  
20 genuine issue for trial.’” See id. at 324 (citing Fed. R. Civ. P. 56(e) (amended 2010)).

21 For purposes of summary judgment, the court must view the evidence in the light most  
22 favorable to the nonmoving party; if the evidence produced by the moving party conflicts with  
23 evidence produced by the nonmoving party, the court must assume the truth of the evidence  
24 submitted by the nonmoving party. See Leslie v. Grupo ICA, 198 F.3d 1152, 1158 (9th Cir.  
25 1999). The court’s function on a summary judgment motion is not to make credibility  
26 determinations or weigh conflicting evidence with respect to a disputed material fact. See  
27 T.W. Elec. Serv., Inc., v. Pacific Elec. Contractors Ass’n, 809 F.2d 626, 630 (9th Cir. 1987).

28 A verified complaint may be used as an opposing affidavit under Rule 56, provided it

1 is based on personal knowledge and sets forth specific facts admissible in evidence. See  
 2 Schroeder v. McDonald, 55 F.3d 454, 460 & nn.10-11 (9th Cir. 1995) (treating plaintiff's  
 3 verified complaint as opposing affidavit where, even though verification not in conformity  
 4 with 28 U.S.C. § 1746, plaintiff stated, under penalty of perjury, contents were true and  
 5 correct, and allegations were not based purely on information and belief but rather on personal  
 6 knowledge).<sup>7</sup>

7 B. Deliberate Indifference to Serious Medical Needs

8 Deliberate indifference to a prisoner's serious medical needs violates the Eighth  
 9 Amendment's proscription against cruel and unusual punishment. See Estelle v. Gamble, 429  
 10 U.S. 97, 104 (1976). "A determination of 'deliberate indifference' involves an examination of  
 11 two elements: the seriousness of the prisoner's medical need and the nature of the defendant's  
 12 response to that need." McGuckin v. Smith, 974 F.2d 1050, 1059 (9th Cir. 1992), overruled  
 13 on other grounds, WMX Technologies, Inc. v. Miller, 104 F.3d 1133, 1136 (9th Cir. 1997) (en  
 14 banc). A "serious" medical need exists if the failure to treat a prisoner's condition could  
 15 result in further significant injury or the "unnecessary and wanton infliction of pain." Id.  
 16 (citing Estelle v. Gamble, 429 U.S. at 104). A prison official is deliberately indifferent if he  
 17 knows a prisoner faces a substantial risk of serious harm and disregards that risk by failing to  
 18 take reasonable steps to abate it. Farmer v. Brennan, 511 U.S. 825, 837 (1994). The prison  
 19 official must not only "be aware of facts from which the inference could be drawn that a  
 20 substantial risk of serious harm exists," but "must also draw the inference." Id.  
 21 Consequently, in order for deliberate indifference to be established, there must exist both a  
 22 purposeful act or failure to act on the part of the defendant and harm resulting therefrom. See  
 23 McGuckin, 974 F.2d at 1060.

24 A claim of medical malpractice or negligence is insufficient to make out a violation of  
 25 the Eighth Amendment. Id. at 1059. Nor does "a difference of opinion between a prisoner-

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 28 <sup>7</sup> As noted above, plaintiff's FAC is unverified. Plaintiff has, however, submitted a sworn declaration with his opposition.

patient and prison medical authorities regarding treatment” amount to deliberate indifference. Franklin v. Oregon, 662 F.2d 1337, 1344 (9th Cir. 1981). Consequently, a plaintiff’s opinion that medical treatment was unduly delayed does not, without more, state a claim of deliberate indifference. Shapley v. Nevada Bd. of State Prison Comm’rs, 766 F.2d 404, 407 (9th Cir. 1985). Rather, in order to prevail on a claim based on delayed treatment, a plaintiff must show the course of treatment the doctors chose was “medically unacceptable under the circumstances” and that such treatment was chosen “in conscious disregard of an excessive risk to plaintiff’s health.” See Jackson v. McIntosh, 90 F.3d 330, 332 (9th Cir. 1996).

### C. Analysis

#### 1. Defendants Dr. Sayre, N.P. Risenhoover, and Nurse Flowers

The record amply demonstrates that Dr. Sayre, N.P. Risenhoover, and Nurse Flowers (hereafter “medical staff defendants”) provided plaintiff with adequate care. The medical staff defendants, as well as outside physicians, examined plaintiff on multiple occasions and provided him treatment for his chronic knee pain and other health issues. In particular, the record shows that, for the period encompassing plaintiff’s complaint, specifically, starting in April 2006 and ending in September 2007, Nurse Flowers responded promptly to each of plaintiff’s IRI’s and HSRF’s, and N.P. Risenhoover treated plaintiff on at least fourteen occasions. During this time, Nurse Flowers and N.P. Risenhoover evaluated plaintiff’s condition, created a treatment plan, prescribed medication, prescribed a knee brace and/or sleeve when medically indicated, forwarded plaintiff’s requests to the UMC/MAR, explained the committee’s findings, instructed plaintiff on proper care for his knee, and referred plaintiff for physical therapy and to specialists. The UMC/MAR also evaluated plaintiff’s case on at least five occasions during this period and approved him for physical therapy, ESI injections, and follow-up visits with Dr. Duncan. The undisputed evidence demonstrates the medical staff defendants continuously assessed plaintiff’s symptoms and recommended treatment according to his clinical presentation.

Although the allegations and assertions in plaintiff’s FAC and opposition are not entirely clear, it appears plaintiff bases his medical indifference claim on the following four

arguments: that medical staff defendants (1) denied his requests for an MRI despite Dr. Duncan's recommendation; (2) denied proper pain medication; (3) denied a knee brace; and (4) failed to have him properly examined by a medical doctor. The Court addresses each such argument in turn.

a. MRI

Plaintiff argues the medical staff defendants were deliberately indifferent to his medical needs because, following plaintiff's second knee surgery, performed in November 2005, Dr. Duncan recommended a further MRI, which recommendation was ignored by PBSP staff. See e.g., Opp'n. at 8 ("On May 2, 2007, [plaintiff] was seen at the time by Dr. Duncan, M.D., for right knee w/ recom for MRI. The MRI rt knee was denied by UMC/MAR.")

Defendants do not dispute that Dr. Duncan recommended an MRI for plaintiff in 2007, nor do defendants dispute that the UMC/MAR denied plaintiff's requests for an MRI. As set forth below, however, Dr. Duncan's recommendation evidences, at most, a difference of opinion as to the type of treatment plaintiff should have received. As noted, a mere "difference of medical opinion . . . [is] insufficient, as a matter of law, to establish deliberate indifference." Jackson v. McIntosh, 90 F.3d 330, 332 (9th Cir. 1996). .

In particular, defendants have submitted a declaration from Dr. Sayre, a member of the UMC/MAR, setting forth his expert opinion that defendants' actions were medically appropriate and met the standard of care. (Sayre Decl. ¶ 59.) Specifically, in Dr. Sayre's opinion, the only reason to obtain an MRI is in preparation for surgery. (Id. ¶ 57.) According to Dr. Sayre, given plaintiff's two previous surgeries, the chance of any significant improvement with a third surgery, compared to the attendant risks of that surgery, favored a more conservative approach. (Id.) In that regard, Dr. Sayre further states there is a growing body of evidence showing that "removing the meniscus, even in the face of a tear, has no long term benefit" and that "arthroscopic surgery has little benefit." (Id.) Plaintiff has failed to come forward with specific facts to support any findings to the contrary.

Moreover, defendants have submitted evidence showing Dr. Duncan examined plaintiff in June 2008 and noted plaintiff's knee and back pain had improved. (Sayre Decl.

Ex. A at PB 1132-33.) Dr. Duncan also noted surgery was not indicated at that time; rather, he recommended exercises. (*Id.*) In other words, Dr. Duncan's ultimate opinion is aligned with the more conservative approach taken by the medical defendants. Lastly, the record is devoid of any evidence indicating plaintiff's condition was in any manner worsened as a result of his having received physical therapy in lieu of an MRI or a third surgery.

In sum, there is no evidence to support a finding that plaintiff's treatment without an MRI was the result of deliberate indifference to his condition.

b. Pain Medication

Plaintiff argues the medical staff defendants were deliberately indifferent to his medical needs because he told them what medications were effective, and medical staff defendants delayed or denied those medications. *See e.g.*, Opp'n. at 40 ("When plaintiff submitted IRI and HSRF forms, [defendants] took from 7 to 14 days to respond and act upon plaintiff's condition. The defendants repeatedly prescribed ineffective pain medication for 13 to 14 months to find the right pain medications even though plaintiff suggested tolerable effective pain medications for his right knee pain.")

Contrary to plaintiff's assertions, the undisputed record shows pain medication was regularly provided, including pain medication that plaintiff specifically requested, e.g., indomethacin and ESI. Indeed, plaintiff reported benefits from many of these treatments. While plaintiff claims he asked for and was denied other pain medications, plaintiff's mere disagreement with the choice of medication is not enough to establish deliberate indifference. *See Franklin*, 662 F.2d at 1344 (stating "[a] difference of opinion between a prisoner-patient and prison medical authorities regarding treatment does not give rise to a § 1983 claim"); *Toguchi v. Chung*, 391 F.3d 1051, 1058 (9th Cir. 2004) (holding choice of medication alone insufficient to establish deliberate indifference).<sup>8</sup>

In sum, there is no evidence showing the medical staff defendants' choice of treatment

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<sup>8</sup> Further, contrary to plaintiff's assertion that it took one to two weeks for plaintiff to receive a response to his complaints, the undisputed evidence shows medical staff defendants responded to plaintiff's IRI complaints within a few days and responded to plaintiff's HSRF complaints, i.e., the proper form by which a request for medical care is to be made, within a day, and often the same day.

1 for plaintiff's pain was medically unacceptable under the circumstances, let alone that the  
 2 medical staff defendants chose such course of treatment in conscious disregard of any risk to  
 3 plaintiff's health.

4 c. Knee Brace

5 Plaintiff argues medical staff defendants were deliberately indifferent to his medical  
 6 needs because they confiscated his knee brace for a period of time. See e.g., Opp'n. at 26  
 7 ("Use of a flexible knee brace for exercising is a reasonable recommendation. At the time,  
 8 defendant Sue Risenhoover, R.N., confiscated the flexible knee brace on June 14, 2007,  
 9 previously and only returned the flexible knee brace on February 10, 2009, and permanently  
 10 on September 22, 2010.")<sup>9</sup>

11 The evidence shows plaintiff was given a knee brace and/or knee sleeve when it was  
 12 determined by the medical professionals at PBSP to be medically indicated. (Sayre Decl. ¶¶  
 13 22, 44, 47, 48, 54.) Defendants have submitted a declaration from Dr. Sayre, setting forth his  
 14 expert opinion that "there is little objective evidence to support the use of braces if there is no  
 15 joint instability" as in plaintiff's case. (Sayre Decl. ¶ 58.) Indeed, Dr. Sayre goes on to state  
 16 "the use of braces for chondromalacia is contra-indicated. The more a brace, sleeve, or other  
 17 restriction of the movement of the patella occurs, the worse the movement abnormality  
 18 becomes." (Id.) Dr. Sayre also reports there are custodial issues associated with knee braces;  
 19 in particular, because custodial officers are not permitted to tamper with medical devices such  
 20 as braces, a brace "becomes a great place to move and hide contraband." (Id.) Again,  
 21 plaintiff fails to come forward with specific facts to support a finding to the contrary, and, as  
 22 discussed, there is no evidence defendants acted in conscious disregard of an excessive risk to  
 23 plaintiff's health.

24 In sum, there is no evidence to support a finding of deliberate indifference based on  
 25 denial of a knee brace or sleeve.

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27 <sup>9</sup> As noted above, the medical notes from June 14, 2007 state that N.P. Risenhoover  
 28 was discontinuing a "knee sleeve" not a "knee brace" (Sayre Decl. ¶ 47, Ex. A at PB 1183),  
 and it is unclear whether the parties have used the terms interchangeably.

d. Medical Doctor

Plaintiff argues medical staff defendants were deliberately indifferent to his medical needs because the medical care providers who treated him did not include physicians. See e.g., Opp’n. at 25 (“Defendants J. Risenhoover and J. Flowers are registered nurses who do not have physician credentials; medical knowledge; nor orthopedic training.”) There is no Eighth Amendment requirement that inmates be treated only by persons holding medical degrees. The evidence shows N.P. Risenhoover is a certified family nurse practitioner, qualifying her to provide primary care to diagnose, treat, and prescribe medication. (See Sayre Decl. ¶¶ 16, 22, 23, 27, 31, 33.) Plaintiff does not identify any appropriate or necessary treatment that he did not receive because N.P. Risenhoover does not have a medical degree, nor does plaintiff provide any evidence suggesting his knee pain was beyond the expertise of a nurse practitioner, and, indeed, the evidence submitted would suggest the contrary.<sup>10</sup>

In sum, there is no evidence to support a finding of deliberate indifference based on the credentials of the medical staff who treated plaintiff.

In conclusion, as to the medical staff defendants, the Court, having considered the evidence in the light most favorable to plaintiff, finds plaintiff has failed to raise a triable issue of material fact as to whether said defendants were deliberately indifferent to plaintiff’s serious medical needs.

Accordingly, summary judgment will be granted as to defendants Dr. Sayre, N.P. Risenhoover, and Nurse Flowers.

2. Defendants Grannis, Pimental, McLean, and Kravitz

Defendants argue that defendants Grannis, Pimental, McLean, and Kravitz are entitled to summary judgment because plaintiff cannot establish an Eighth Amendment claim based on the denial of his administrative appeals. The Court agrees. Plaintiff’s complaint alleges no

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<sup>10</sup> Moreover, plaintiff’s treatment did include medical doctors. Specifically, plaintiff received numerous referrals to outside orthopedist Dr. Duncan, and plaintiff’s treatment was evaluated by the UMC/MAR, of which Dr. Sayre is a member, at least five times. (Sayre Decl. ¶ 56.)



wrongdoing by these defendants directly related to the medical care he received. Rather, said defendants are named in the complaint solely because they reviewed and denied, or partially denied, plaintiff's inmate appeals. (See FAC at 15-16, 20.) There is no constitutional right, however, to a prison administrative appeal or grievance system. Mann v. Adams, 855 F.2d 639, 640 (9th Cir. 1988). Consequently, an incorrect decision on an administrative appeal or a failure to handle it in a particular way does not amount to a violation of plaintiff's constitutional rights. See id.

Accordingly, summary judgment will be granted as to defendants Grannis, Pimental, McLean, and Kravitz.<sup>11</sup>

### CONCLUSION


For the foregoing reasons, the Court orders as follows:

1. Defendants' motion for summary judgment is hereby GRANTED.
2. The Clerk shall enter judgment in favor of all defendants and close the file.

This order terminates Docket No. 84.

IT IS SO ORDERED.

DATED: February 12, 2013

  
 MAKINE M. CHESNEY  
 United States District Judge

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<sup>11</sup> In light of the above findings, the Court does not address herein defendants' alternative argument that plaintiff failed to exhaust administrative remedies.